

07/10/01

PTO/SB/18 (08-00)

Please type a plus sign (+) inside this box → ☒Approved for use through 10/31/2002. OMB 0651-0032  
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J1036 U.S. PTO

09/901418

07/09/01

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 1500 concerning design patent application contents

1. ☒ Fee Transmission Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27
3. ☒ Specification [Total Pages 32]  
(preferred arrangement set forth below, MPEP 1503.01)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer
  - Program listing appendix
  - Background of the invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawings (37 CFR 1.152) [Total Sheets 9]
5. Oath or Declaration [Total Pages ]
  - a. ☒ Declaration of Inventors to be filed subsequently.
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
Inventor(s) named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

Attorney Docket No. 7808.836

First Named Inventor See attached list of inventors

Title AN INTERNET PROTOCOL INTRANET NETWORK  
SYSTEM AND METHOD FOR A FINANCIAL  
INSTITUTION

Express Mail Label No. EL493158384US

ADDRESS TO: Box Patent Application  
Assistant Commissioner for Patents  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement ☒ Copies of IDS  
(IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: Check in the amount of \$1,048.00.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or  
in an Application Data Sheet under 37 CFR 1.76:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_ / \_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is  
supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated  
by reference. The incorporation can only be relied upon when the disclosure was not inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

|         |                        |           |              |          |              |
|---------|------------------------|-----------|--------------|----------|--------------|
| Name    | Mark E. Stallion       |           |              |          |              |
| Address | 720 Olive Street       |           |              |          |              |
|         | 24 <sup>th</sup> Floor |           |              |          |              |
| City    | St. Louis              | State     | Missouri     | Zip Code | 63101        |
| Country | United States          | Telephone | 314-345-6000 | Fax      | 314-345-6060 |

|                         |                  |            |
|-------------------------|------------------|------------|
| Name (Print/Type)       | Registration No. |            |
| Mark E. Stallion        | (Attorney/Agent) | 46,123     |
| Signature               | Date             |            |
| <i>Mark E. Stallion</i> |                  | Jul 09, 01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case.  
Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and  
Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant  
Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# SUPPLEMENTAL UTILITY PATENT APPLICATION TRANSMITTAL

## LIST OF INVENTORS

| <u>Given Name (first and middle [if any])</u> | <u>Family or Surname</u> |
|---|--------------------------|
| Thomas A.                                     | Knight                   |
| Shelly S.                                     | Biddlecombe              |
| Stacy L.                                      | Braden                   |
| Rick  | Devault                  |
| Kevin O.                                      | Dillon                   |
| Katherine S.                                  | Wright                   |
| Joel E.                                       | Verwers                  |
| David   | Young                    |
| Kevin   | Lieurance                |
| Bruce   | Molay                    |
| Chad  | Nelson                   |
| Rosalind E.                                   | Phillips                 |
| Paul  | Rasmussen                |
| John  | Selzer                   |
| Joe E.  | Vasquez                  |
| Trenton D.                                    | Madden                   |
| Catherine L.                                  | Chilton                  |
| Michelle Y.                                   | Ahrens                   |
| Margaret                                      | Shaft                    |

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**FEE TRANSMITTAL  
for FY 2000**

Patent fees are subject to annual revision.

**Complete if Known**

|                      |                   |
|----------------------|-------------------|
| Application Number   | Unknown           |
| Filing Date          | Herewith          |
| First Named Inventor | Knight, Thomas A. |
| Examiner Name        | Unknown           |
| Group Art Unit       | Unknown           |
| Attorney Docket No.  | 7808.836          |

TOTAL AMOUNT OF PAYMENT (\$1,048.00)

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 11-0160

Deposit Account Name BLACKWELL SANDERS PEPER MARTIN LLP

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27.

- 2.
- ☒
- Payment Enclosed:
- 
- ☒
- Check
- ☐
- Credit card
- ☐
- Money
- ☐
- Other
- 
- Order

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid   |
|----------------|-----------------|----------------|-----------------|------------------------|------------|
| 101            | 710             | 201            | 355             | Utility filing fee     | 710        |
| 106            | 320             | 206            | 160             | Design filing fee      |            |
| 107            | 490             | 207            | 245             | Plant filing fee       |            |
| 108            | 710             | 208            | 355             | Reissue filing fee     |            |
| 114            | 150             | 214            | 75              | Provisional filing fee |            |
| SUBTOTAL (1)   |                 |                |                 |                        | (\$710.00) |

**2. EXTRA CLAIM FEES**

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------|----------------|----------|
| 21           | -20** = 1    | 18             | 18       |
| 7            | -3** = 4     | 80             | 320      |
|              |              |                |          |

\*\*or number previously paid, if greater. For Reissues, see below

| Large Fee Code        | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------|----------------|-----------------|--|
| 103                   | 18              | 203            | 9               | Claims in excess of 20                                     |
| 102                   | 80              | 202            | 40              | Independent claims in excess of 3                          |
| 104                   | 270             | 204            | 135             | Multiple dependent claim, if not paid                      |
| 109                   | 80              | 209            | 40              | ** Reissue independent claims over original patent         |
| 110                   | 18              | 210            | 9               | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL 2 (\$338.00) |                 |                |                 |  |

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - last filing fee or oath  |          |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                     |          |
| 116            | 390             | 216            | 195             | Extension for reply within second month                                    |          |
| 117            | 890             | 217            | 445             | Extension for reply within third month                                     |          |
| 118            | 1,390           | 218            | 695             | Extension for reply within fourth month                                    |          |
| 128            | 1,890           | 228            | 945             | Extension for reply within fifth month                                     |          |
| 119            | 310             | 219            | 155             | Notice of Appeal   |          |
| 120            | 310             | 220            | 155             | Filing a brief in support of an appeal                                     |          |
| 121            | 270             | 221            | 135             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,240           | 241            | 620             | Petition to revive - unintentional   |          |
| 142            | 1,240           | 242            | 620             | Utility issue fee (or reissue)   |          |
| 143            | 440             | 243            | 220             | Design issue fee   |          |
| 144            | 600             | 244            | 300             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123            | 50              | 123            | 50              | Processing fee under 37 CFR 1.17(q)  |          |
| 126            | 180             | 126            | 180             | Submission of Information Disclosure Stmt                                  |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) |          |
| 146            | 710             | 246            | 355             | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149            | 710             | 249            | 355             | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179            | 710             | 279            | 355             | Request for Continued Examination (RCE)                                    |          |
| 169            | 900             | 169            | 900             | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_


Other fee (specify) \_\_\_\_\_

SUBTOTAL (3)

\*Reduced by Basic Filing Fee Paid

(\$1,048.00)

**SUBMITTED BY****Complete (if applicable)**

|                   |   |                                   |        |           |                |
|-------------------|---|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Mark E. Stallion  | Registration No. (Attorney/Agent) | 46,123 | Telephone | (314) 345-6000 |
| Signature         |  |                                   |        | Date      | Jul 09, 01     |